# Important information

Pursuant to the relevant sections of the ADGM Beneficial Ownership and Control Regulations, it is a duty of an ADGM person to obtain records of beneficial owners and their required particulars, to submit the records of its beneficial owners to the Registrar, and to notify the Registrar of changes in beneficial owners’ records. This also includes the shares held by nominees (a share held by a person as a nominee for another is to be treated as held by the other (and not by the nominee)).

For shares that are held by a **nominee or** by an intermediary on behalf of one or more beneficial owners, section 10 of Schedule 1 of ADGM Beneficial Ownership and Control Regulations requires the **nominee to disclose** the relevant interest of the underlying shareholder(s).

Due to the current limitations in the Online Registry Solution, this form is to be used to confirm whether or not a nominee arrangement exists in the ADGM registered entity. Please complete relevant sections of this form and provide the appropriate declaration and information, if necessary.

All fields specified or indicated by \* are mandatory

|  |  |  |
| --- | --- | --- |
| Date\* |   |  |

## Section 1: Entity Details

## Entity Details

|  |  |  |
| --- | --- | --- |
| Registration Number |   |  |
| Entity Name\* |   |  |

## Section 2: Nominee arrangement confirmation:

|  |
| --- |
| 1. Do any of the shareholders act as a nominee shareholder? (i.e. hold shares as nominee for another person)
 |
| [ ]  Yes  |
| [ ]  No (Proceed to Section 4) |
| 1. Does the person for whom the shares are being held for, own or control (in each case whether directly or indirectly), including through bearer share holdings or by other means, 25% or more of the shares or voting rights?
 |
| [ ]  Yes (Please complete Section 3) |
| [ ]  No (Proceed to Section 4) |

## Section 3: Details of Beneficial Owners:

|  |  |  |
| --- | --- | --- |
| Name of nominee shareholder\* |   |  |

### Section 3.1 – Individual Beneficial Owner

Complete this section if the share(s) held by the nominee are held for another person who is an individual.

### Personal Details

|  |
| --- |
| Provide details of the beneficial owner(s). |
| Title\* |   |  |
| Forenames\* |   |  |
| Surname\* |   |  |
| Country of Residence\* |   |  |
| Nationality\* |   |  |
| Date of Birth\* |   |  |
| Business Occupation\* |   |  |
| Date became a beneficial owner\* |   |  |

### Service Address

|  |
| --- |
| Provide Post Box number or address at which communication may be effectively serve by postal service. |
| Address\* |   |  |
|  |   |  |
|  |   |  |
| P.O. Box Number |   |  |
| Post Code |   |  |
| Country\* |   |  |

### Section 3.2 - Public / Listed entity Beneficial Owner

Complete this section if the share(s) held by nominee are held for a person who is a listed/public entity.

### Details of Public/Listed Entity

|  |
| --- |
| Provide details of the beneficial owner(s). |
| Registered Name\* |   |  |
| Registration number (or equivalent)\* |  |  |
| Website Address where accounts can be found |   |  |
| Exchange where entity is listed |   |  |
| Exchange Listing ID |   |  |
| Activity |   |  |
| Date became a beneficial owner\* |  |  |

### Registered Office Address

|  |
| --- |
| Provide the Registered office address. |
| Address\* |   |  |
|  |   |  |
|  |   |  |
| P.O. Box Number |   |  |
| Post Code |   |  |
| Country\* |   |  |

### Section 3.3 – Government entity Beneficial Owner

Complete this section if the share(s) held by nominee are held for a person who is a UAE Federal Decree or government entity.

|  |
| --- |
| Provide details of the beneficial owner(s). |
| Entity / Firm Name\* |   |  |
| Registration Number\* |   |  |
| Place of Registration / Incorporation\* |   |  |
| Legal Entity Structure\* |   |  |
| Governing Law\* |   |  |
| Date became a beneficial owner\* |  |  |

### Service Address

|  |
| --- |
| Provide Post Box number or address at which communication may be effectively serve by postal service. |
| Address\* |   |  |
|  |   |  |
|  |   |  |
| P.O. Box Number |   |  |
| Post Code |   |  |
| Country\* |   |  |

### Section 3.4 – Trust Beneficial Owner

Complete this section if the share(s) held by nominee are held for a person who is a Trust.

### Details Trust and Trustee

|  |
| --- |
| Provide details of trustee |
| Trust Name\* |   |  |
| Title\* |   |  |
| Forenames\* |   |  |
| Surname\* |   |  |
| Country of Residence\* |   |  |
| Nationality\* |   |  |
| Date of Birth\* |   |  |
| Business Occupation |   |  |
| Date became a beneficial owner\* |  |  |

### Service Address

|  |
| --- |
| Provide Post Box number or address at which communication may be effectively serve by postal service. |
| Address\* |   |  |
|  |   |  |
|  |   |  |
| P.O. Box Number |   |  |
| Post Code |   |  |
| Country\* |   |  |

### Section 3.5 – Foundation Beneficial Owner

Complete this section if the share(s) held by nominee are held for a person who is a Foundation.

### Details Foundation and Beneficiaries

|  |
| --- |
| Provide details of Beneficiaries |
| Foundation Name\* |   |  |
| Title\* |   |  |
| Forenames\* |   |  |
| Surname\* |   |  |
| Country of Residence\* |   |  |
| Nationality\* |   |  |
| Date of Birth\* |   |  |
| Business Occupation |   |  |
| Date became a beneficial owner\* |  |  |

### Service Address

|  |
| --- |
| Provide Post Box number or address at which communication may be effectively serve by postal service. |
| Address\* |   |  |
|  |   |  |
|  |   |  |
| P.O. Box Number |   |  |
| Post Code |   |  |
| Country\* |   |  |

### Section 3.6 – Others

|  |  |  |
| --- | --- | --- |
| Entity / Firm Name\* |   |  |
| Registration Number\* |   |  |
| Place of Registration / Incorporation\* |   |  |
| Legal Entity Structure\* |   |  |
| Governing Law\* |   |  |
| Date became a beneficial owner\* |  |  |

### Service Address

|  |
| --- |
| Provide Post Box number or address at which communication may be effectively serve by postal service. |
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|  |   |  |
|  |   |  |
| P.O. Box Number |   |  |
| Post Code |   |  |
| Country\* |   |  |

# Section 4: Signature

|  |
| --- |
| [ ]  I declare that the information in this notification and any attachments are true and complete as at the date of signing and all requirements under the Beneficial Ownership and Control Regulations 2018, as amended, have been complied with. |

|  |  |  |  |
| --- | --- | --- | --- |
| Signature |  | Date |   |
| Name  |   | Designation |   |

# Party lodging this application

### Personal Details

|  |
| --- |
| Provide details of the party lodging this application |
| Title\* |   |  |
| Forenames\* |   |  |
| Surname\* |   |  |
| Former Names\* |   |  |
| Designation\* |   |  |
| Organization\* |   |  |
| Email Address\* |   |  |
| Telephone Number\* |   |  |

### Service Address

|  |
| --- |
| Provide Post Box number or address at which communication may be effectively serve by postal service. |
| Address\* |   |  |
|  |   |  |
|  |   |  |
| P.O. Box Number |   |  |
| Post Code |   |  |
| Country\* |   |  |

# Checklist

|  |
| --- |
| Please make sure to complete all the required fields in the form and the following supporting document(s) are attached. Incorrect or incomplete application may be returned for re‐submission. If any documents are not in the English Language, they must be accompanied by a translation, certified to the satisfaction of the Registrar. Please refer to Registration Authority’s Rules on translation and authentication. |

## Required Documents

|  |  |  |
| --- | --- | --- |
| No. | Requirement | Status |
| 1. | Copy of passport of an individual or individuals listed in this form  |[ ]

# Where to submit the form?

|  |
| --- |
| Completed form along with relevant supporting documents and upload this form in the online registry solution.  |

# For Further Information, please contact us.

|  |  |
| --- | --- |
| **Telephone Number** | **Email Address** |
| +971 2 3338888 | ra@adgm.com |