

## MKT Form 4-4

### Application for the approval of a summary document<sup>1</sup>

FINANCIAL SERVICES REGULATORY AUTHORITY

سلطة تنظيم الخدمات المالية

<sup>1</sup> A summary document for Exempt Securities described in Rule 4.4.1(9) in the Market Rules (MKT).

## 1. Approval request

Name of Issuer:	
Name of Reporting Entity (if different from above):	
Name of Sponsor (if required):	
Recognised Investment Exchange or Regulated Exchange on which the Securities the subject of the summary document have been admitted to trading (the Other Market):	
Date of admission to trading:	

The Issuer hereby applies to the FSRA for the approval of the summary document attached to this form, pursuant to the requirements of section 61 of the Financial Services and Markets Regulations 2015 as amended (FSMR) and MKT 4.4.1(9) and 4.6.

## 2. Contact details

Contact details of two individuals (representing the Sponsor, Issuer or Reporting Entity) who are sufficiently knowledgeable about the content of the summary document to be able to answer queries of the FSRA during business hours:

	Individual 1	Individual 2
Full name:		
Position/title:		
Company:		
Business address:		
Telephone number:		
Email address:		

## 3. Confirmation

The Issuer acknowledges its obligations under FSMR and MKT and the legal implications of approval of a summary note under those provisions. Accordingly, the Issuer confirms, in relation to the application for approval of the attached summary document, that all information required to be included in a summary note has been included therein, all the documents and information required to be provided with the application have been

supplied in accordance with MKT, and all other requirements of the FSRA in respect of this application have been complied with.

Further, in relation to the Securities which are the subject of the summary document, the Issuer confirms the following:

- a) the Securities, or Securities of the same class, have been admitted to trading and continuously traded on the Other Market for more than 18 months; and
- b) the on-going obligations for trading on that Other Market have been complied with.

In particular, the Issuer confirms that the application contains the following attachments:

- a) Payment Form MKT 4.6-2 confirming the payment of the relevant fee (as prescribed in the FEES Rulebook);
- b) a summary document that meets the content requirements in MKT 4.4.1(9)(c); and
- c) any other information required by the FSRA (if applicable).

SIGNED BY \_\_\_\_\_

Director or other duly authorised officer, for and on behalf of:

\_\_\_\_\_

name of Issuer

DATE \_\_\_\_\_

The capitalised terms in this form have the same meaning as defined in FSMR or the FSRA Glossary Rulebook.

Please send complete application to [LA@adgm.com](mailto:LA@adgm.com) taking into account timeframes in MKT 4.6.1 (2).