

# MKT Form 3-6

## Connected Person of a Listed Fund Disclosure

## 1. Connected Person's details

Name (the Connected Person):	
Address:	
How the Connected Person falls into the definition of a Connected Person of a Listed Fund: <sup>1</sup>	
The date on which the event giving rise to the obligation to make a Connected Person of a Listed Fund Disclosure (the Transaction) occurred:	

## 2. Transaction details

Reporting Entity:	
Address of the Reporting Entity:	
Registered address of the Reporting Entity (if different from above):	
Listed Fund:	
Registered address of the Listed Fund:	
Securities:	
Transaction: <sup>2</sup>	<p>(a) Becoming/ceasing to be a member of the Governing Body of a Listed Fund or a Director/a Person involved in the Senior Management of the Reporting Entity of the Fund or of a Controller<sup>3</sup> of the Reporting Entity of the Fund or of the Trustee of the Fund.</p> <p>(b) Acquiring/ceasing to hold (alone or with an Associate) 5% of the voting rights attaching to the Units of the Fund or of the Trustee of the Fund or a Controller of the Reporting Entity of the Fund or the Trustee of the Fund.</p>

<sup>1</sup> Connected Person of a Listed Fund is defined in Rule 3.6.1(1) in the Market Rules (MKT).

<sup>2</sup> Please delete as appropriate.

<sup>3</sup> Controller is defined in MKT 3.6.1(2).

	(c) An increase/decrease of at least 1% of the level of interest previously reported pursuant to (b).
If the Securities are owned or controlled indirectly, please provide details:	
If the Connected Person is controlled by a natural person or a legal entity, please provide details:	
If the Securities are held with an Associate, please provide details:	
Transaction price:	
Transaction amount:	
Previous level of interest held:	
New level of interest held:	
The date on which a Connected Person of a Listed Fund Disclosure was made to the Reporting Entity:	

### 3. Confirmation

The Connected Person acknowledges their obligations under the Financial Services and Markets Regulations 2015 (FSMR) and the Market Rules. This disclosure is being made to the Listing Authority pursuant to section 76 of FSMR.

SIGNED BY \_\_\_\_\_

Connected Person / duly authorised officer, for and on behalf of:

\_\_\_\_\_

name of Connected Person

DATE \_\_\_\_\_

The capitalised terms in this form have the same meaning as defined in FSMR or the FSRA Glossary Rulebook, unless the context requires otherwise.

Please send completed form to [LA@adgm.com](mailto:LA@adgm.com) within five Business Days of the occurrence of the event that triggered the obligation to make the Connected Person of a Listed Fund Disclosure.