

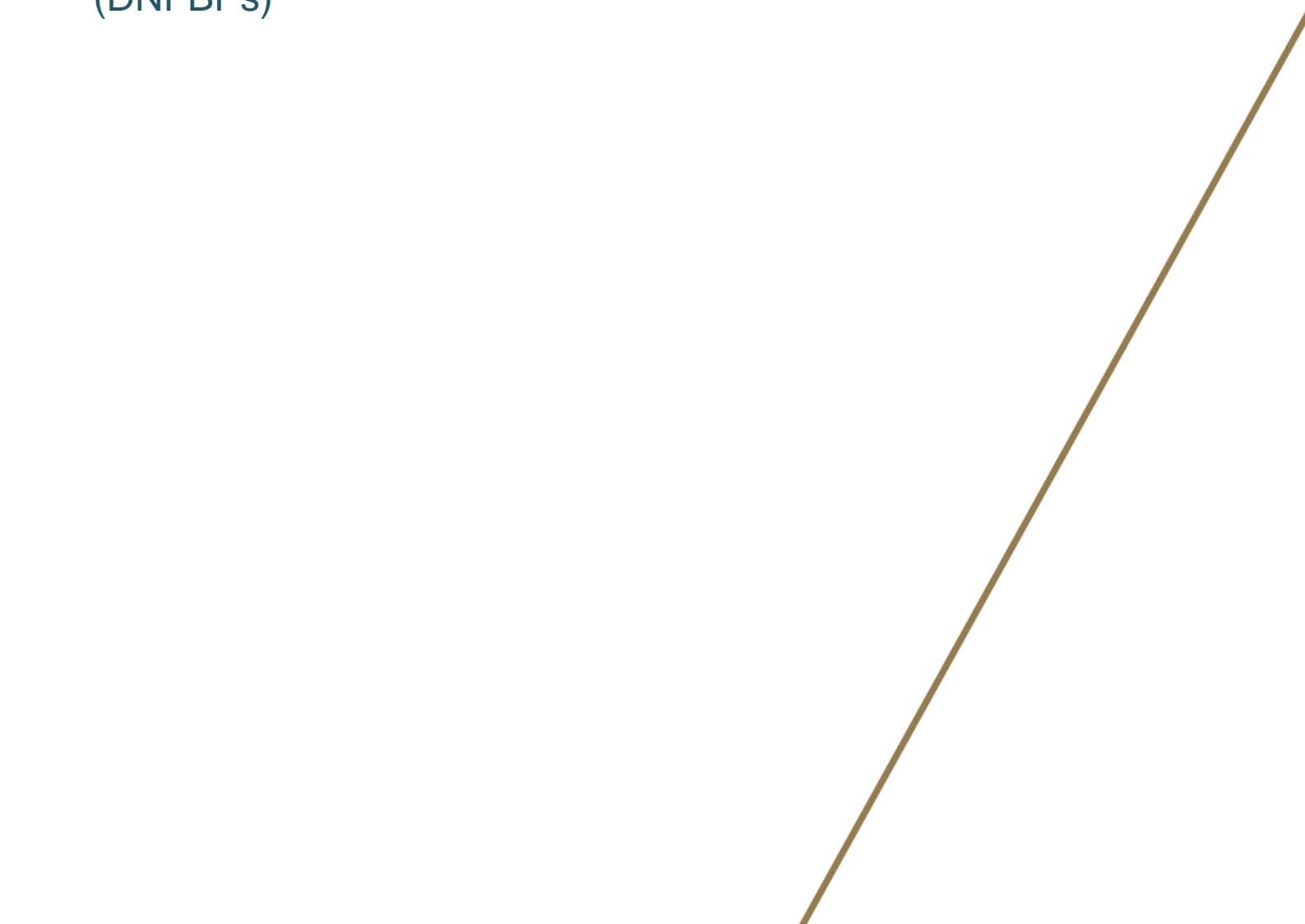


**ABU DHABI  
GLOBAL MARKET**

## **Registration Authority**

Checklist – Appointment of an MLRO

Designated Non-Financial Businesses and Professions  
(DNFBPs)



## GENERAL

	Requirements	Useful Links
<input type="checkbox"/>	Complete the <b>Money Laundering Reporting Officer</b> section of the incorporation application form during the registration stage of a proposed DNFBP.	<a href="#">Enhanced Registry Solution</a>
<input type="checkbox"/>	Complete the <b>Appointment and Cessation of a MLRO</b> form, if notifying the Registration Authority (RA) of changes to the MLRO of a DNFBP, post-registration.	<a href="#">Enhanced Registry Solution</a>

## MLRO FOR A DNFBP – STANDARD REQUIREMENTS

	Standard Requirements	Useful Links
<input type="checkbox"/>	A copy of the <b>valid passport</b> of the proposed MLRO  Personal details, service address, and contact details of the individual to be provided on the application form.	<a href="#">Anti-Money Laundering and Sanctions Rules and Guidance</a>  Please read Section 12.2 – Qualities of an MLRO
<input type="checkbox"/>	A copy of the <b>valid UAE residence visa</b> or EID of the proposed MLRO	<a href="#">Appointment of an MLRO</a>
<input type="checkbox"/>	<b>Curriculum Vitae (CV)</b> of the proposed MLRO  This is a detailed CV describing the career history of the proposed MLRO detailing the day-to-day duties of the current and past roles including relevant experience specific to the AML/MLRO function.	<a href="#">Qualities of an MLRO</a> <a href="#">Responsibilities of an MLRO</a>
<input type="checkbox"/>	<b>Proof of qualifications and certification</b>  These are copy of degree(s) or certification(s) for any training completed specific to the MLRO function.  Note: foreign language documents must be accompanied by certified legal translation to the English language	

<input type="checkbox"/>	<p><b>Outsourcing agreement</b> (or similar agreement), if the MLRO role is an outsourced function</p>	<p>See related AML rules on outsourcing arrangements:</p> <p><a href="#">Anti-Money Laundering and Sanctions Rules and Guidance</a></p> <p><a href="#">Qualities of an MLRO</a></p> <p><a href="#">Responsibilities of an MLRO</a></p>
<input type="checkbox"/>	<p>Details of the Deputy MLRO (related to an incorporation application)</p> <p>Personal Details</p> <p>Email Address</p>	